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11/23/01

JC860 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-1611

First Named Inventor : Koji YANAI et al.

Title: β -FRUCTOFURANOSIDASE AND ITS GENE, METHOD FOR ISOLATING β -FRUCTOFURANOSIDASE GENE, SYSTEM FOR PRODUCING β -FRUCTOFURANOSIDASE, AND β -FRUCTOFURANOSIDASE VARIANT

Express Mail Label No.:

16971 U.S. PTO
09/990385

11/23/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 82]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Sequence Listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 10]
5. ☒ Oath or Declaration [Total Pages : 3]
 - a.1. ☐ Newly executed (original or copy)
 - a.2. ☐ Unexecuted
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8. ☒ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☒ Computer Readable Form
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Claim of Priority Under 35 USC 119, Submission of Deposit Receipts, Version With Markings To Show Changes Made

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/142,623

Prior Application Information: Examiner R. Hutson Group Art Unit 1652

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:
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November 23, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koji YANAI et al.

Serial No. NEW

Filed November 23, 2001

β -FRUCTOFURANOSIDASE AND ITS
GENE, METHOD OF ISOLATING
 β -FRUCTOFURANOSIDASE GENE,
SYSTEM FOR PRODUCING
 β -FRUCTOFURANOSIDASE, AND
 β -FRUCTOFURANOSIDASE VARIANT
(Rule 1.53(b) Divisional Application
of Serial No. 09/142,623,
Filed September 10, 1998)

Attn: Application Branch

Attorney Docket No. 2001-1611

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TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$740.00 to cover Patent Office fees relating to filing
the following attached papers:

Rule 53(b) application \$740.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of
Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment
associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of
deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Koji YANAI et al.

By

Lee Cheng

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[Check No. 47638]
2001_1611